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# Dependence-Aware Feature Coding for Person Re-Identification

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5 Abstract-In this letter, we focus on how to boost the performance of person re-identification by exploring the discriminative 6 information among person pairs. A novel dependence-aware fea-7 8 ture coding framework is proposed for this task. Specifically, we employ the Hilbert-Schmidt independence criterion as the discrim-9 inative term, which is to explore the dependence between different 10 11 kinds of person pairs, i.e., the same person pairs should be dependence maximized, while the different ones should be dependence 12 minimized. Theoretical discussion and analysis on the convexity 13 14 of the proposed constraint, as well as the convergence of our algorithm, are provided. Experimental results on two benchmark 15 16 datasets have demonstrated the advantages of our method over the state-of-the-art alternatives. 17

18 *Index Terms*—Feature coding, Person re-identification.

## I. INTRODUCTION

**P**ERSON re-identification is the problem of matching people 20 across several disjoint camera views, which has recently 21 attracted much attention due to its potential applications such 22 as forensic search [1], long-term multicamera tracking [2], and 23 crowd movements analysis in public places [3]. To address this 24 task, a commonly used pipeline is first to extract the appearance-25 26 based person representation [4]–[7], and then a metric is employed for matching them [8]-[12]. In practice, due to large 27 viewpoint changes, illumination, different poses, background 28 clutter, and occlusions, there is often large intraclass appearance 29 variations, which make the extracted representations unstable. 30 For instance, the descriptive features extracted in KISSME [13], 31 the symmetry-driven accumulation of local features [14], color 32 invariants [15], salient color names based descriptors [16]-[18], 33 mid-level filters [19], and fusion of color models [20], are hard 34 to describe the transitions among different camera views and 35 are often with less discriminative power. 36

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Recently, to reduce the intraclass variations in feature space, 37 various coding methods to encode the image-level features into 38 high-level ones have been developed. The work [21] proposes 39 a Soft-Assignment Coding (SAC) method, which uses all the 40 bases to encode the image-level features. Wang et al. [22] used 41 the Locality-constrained Linear Coding (LLC) method to ex-42 ploit the locality between different samples and assign smaller 43 coefficients to the bases that are farther away from each other in 44 the Euclidean space. Huang et al. [23] by using the Salient Cod-45 ing (SC) method enforce that the nearest code is much closer 46 than others based on the saliency. However, these methods are 47 unsupervised and simply employing the k-means to construct the 48 dictionary to encode features may reduce the dictionary discrim-49 inability. To learn a good dictionary, Guo et al. [24] introduce 50 pairwise constraints to enhance the dictionary discrimination 51 for face verification. Gangeh et al. [25] propose a kernelized 52 supervised dictionary learning for classification. However, all 53 of them learn that a synthesis dictionary and an extra coding 54 step are needed to obtain coding features. To address the issue, 55 the dual Analysis Dictionary Learning (ADL) has drawn much 56 attention recently. 57

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The goal of ADL [26], [27] is to learn a transformation and di-58 rectly obtain the high-level features. Instead of utilizing off-the-59 shelf transformations like Fast Fourier Transformation (FFT), 60 Discrete Cosine Transform (DCT), etc., Gu et al. [28] try to 61 enforce the class-specific dictionaries to well represent a certain 62 class as well as to be ineffectual on the other classes. Wang 63 et al. [29] aim to learn analysis subdictionaries by integrating a 64 max-margin regularization term to enhance the discrimination 65 of coding features. Yang et al. [30] enforce a linear classifier 66 on the coding coefficient to jointly learn the dictionary pair. 67 Guo et al. [31] incorporate a code consistent term and a triplet 68 constraint-based local topology preserving term to improve the 69 dictionary discriminability. However, all these works are de-70 signed for multiclass classification problem. It is not suitable 71 for the weak labels in the person re-identification task [32]. Re-72 cently, Li et al. [33] employ the analysis dictionary for the person 73 re-identification task. However, they only consider the positive 74 pairs as the discriminative regularization, without considering 75 the effect of negative pairs. 76

Based on the above analysis, in this letter, we aim to learn an analysis dictionary by exploiting a more powerful discriminative criterion to boost the task of person re-identification. For clarity, the main contributions are summarized as follows:

 We propose a novel dependence-aware feature coding framework for the person re-identification task. Specifically, the proposed model employs the Hilbert–Schmidt Independence Criterion (HSIC) as the discriminative term, which is to make the same person pairs dependence

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- 86 maximized, while the different ones dependence mini-87 mized.
- 2) Theoretical discussion and analysis on the discriminative
   term (i.e., the convexity of subproblems and the convergence of our algorithm) are provided.

II. PRELIMINARY KNOWLEDGE

# 92 A. Discriminative Dictionary Learning (DDL)

Let  $\mathbf{X} = [\mathbf{x}_1, \dots, \mathbf{x}_n] \in \mathbb{R}^{d \times n}$  be the original image-level features of persons. Each column  $\mathbf{x}_i$  is a feature vector, d is the dimensionality, and n is the total amount of data points. The core idea of DDL is to learn an optimized dictionary that can effectively represent each sample with sufficient discriminative ability. We denote  $\mathbf{Z} = [\mathbf{z}_1, \dots, \mathbf{z}_n] \in \mathbb{R}^{m \times n}$  as the coding features of  $\mathbf{X}$  over the learned dictionary.

100 Synthesis Dictionary Learning (SDL): The SDL aims to learn 101 a synthesis dictionary  $\mathbf{D} = [\mathbf{d}_1, \mathbf{d}_2, \dots, \mathbf{d}_m] \in \mathbb{R}^{d \times m}$  by solv-102 ing the following problem:

$$\min_{\mathbf{D},\mathbf{Z}} \| \mathbf{X} - \mathbf{D}\mathbf{Z} \|_{F}^{2} + \lambda_{1} \| \mathbf{Z} \|_{p} + \lambda_{2} \mathcal{L}(\mathbf{Z})$$
(1)

where  $\lambda_1$  and  $\lambda_2$  are the tradeoff parameters,  $||\mathbf{X} - \mathbf{DZ}||_F^2$ stands for the reconstruction error of SDL model, p denotes the parameter of the  $\ell_p$ -norm regularizer (e.g.,  $\ell_1$ -norm or  $\ell_2$ norm) to avoid the overfitting, and  $\mathcal{L}$  denotes the discrimination term for  $\mathbf{Z}$ . Moreover, to avoid the scaling issue, additional constraints (e.g.,  $\mathbf{D}^T \mathbf{D} = \mathbf{I}$  or  $||\mathbf{d}_i||_2 \leq 1$ ) on  $\mathbf{D}$  are needed.

109 Analysis Dictionary Learning (ADL): As a dual analysis view-110 point of the commonly used SDL, ADL learns an analysis dic-111 tionary  $\mathbf{P} = [\mathbf{p}_1; \mathbf{p}_2; \dots; \mathbf{p}_m] \in \mathbb{R}^{m \times d}$  by

$$\min_{\mathbf{P},\mathbf{Z}} \| \mathbf{P}\mathbf{X} - \mathbf{Z} \|_{F}^{2} + \lambda_{1} \| \mathbf{Z} \|_{p} + \lambda_{2} \mathcal{L}(\mathbf{Z}).$$
(2)

Similarly, constraints (e.g.,  $||\mathbf{P}||_F$  or  $||\mathbf{p}^i||_2 \le 1$ ) on  $\mathbf{P}$  are employed for a well-regularized solution. The refined coding features can be directly obtained as  $\mathbf{PX}$ .

## 115 B. Hilbert–Schmidt Independence Criterion

The HSIC is proposed in [34] to measure the (in)dependence of two random variables  $\mathcal{X}$  and  $\mathcal{Y}$ . It has the following empirical definition.

119 Definition 1 (HSIC): Consider a series of n independent ob-120 servations drawn from  $p_{xy}$ ,  $\mathcal{Z} := \{(\mathbf{x}_1, \mathbf{y}_1), \dots, (\mathbf{x}_n, \mathbf{y}_n)\} \subseteq$ 121  $\mathcal{X} \times \mathcal{Y}$ , an empirical estimator of  $HSIC(\mathcal{Z}, \mathcal{F}, \mathcal{G})$ , is given by

$$HSIC(\mathcal{Z}, \mathcal{F}, \mathcal{G}) = (n-1)^{-2} \operatorname{tr}(\mathbf{K}_1 \mathbf{H} \mathbf{K}_2 \mathbf{H})$$
(3)

122 where  $\mathbf{K}_1$  and  $\mathbf{K}_2$  are the Gram matrices with  $k_{1,ij} =$ 123  $k_1(\mathbf{x}_i, \mathbf{x}_j)$ ,  $k_{2,ij} = k_2(\mathbf{y}_i, \mathbf{y}_j)$ .  $k_1(\mathbf{x}_i, \mathbf{x}_j)$  and  $k_2(\mathbf{y}_i, \mathbf{y}_j)$  are 124 the kernel functions defined in the kernel space  $\mathcal{F}$  and  $\mathcal{G}$ , re-125 spectively.  $\mathbf{H} = \mathbf{I} - n^{-1} \mathbf{1} \mathbf{1}^T$  is a center matrix, which centers 126 the Gram matrix to have zero mean.

127 It is important to note that according to (3), to maximize 128 the dependence between two variables  $\mathcal{X}$  and  $\mathcal{Y}$ , the empirical 129 estimate of HSIC, i.e., tr(K<sub>1</sub>HK<sub>2</sub>H), should be maximized.

## III. PROBLEM FORMULATION

To formulate our feature coding model, we start from the reconstruction error. Specifically, each person is expected to be well represented by the learned dictionary, and according to the definitions of SDL and ADL, the reconstruction model can be typically formulated as follows [28]:

$$\min_{\mathbf{D},\mathbf{P}} ||\mathbf{X} - \mathbf{DPX}||_F^2 \text{ s.t. } \forall i, ||\mathbf{d}_i||_2 \le 1.$$
(4)

We now focus on our discriminative term. In the person re-136 identification task, it usually does not provide the strong class 137 labels, but the weak pairwise labels, i.e., the same person pairs 138 and the different person pairs. To utilize such the discrimina-139 tive information, we assume that *each transformed data sample* 140  $\mathbf{P}\mathbf{x}_i$  has maximum dependence to the ones from the same per-141 son pairs and minimum dependence to the ones from different 142 persons. Thus, we can employ HSIC to address it. Specifically, 143 in the transformed data space, we adopt the linear inner product 144  $\mathbf{K} = (\mathbf{PX})^T \mathbf{PX}$  as its kernel. In the weak pairwise label space, 145 we define a new kernel matrix W. Obviously, such a new kernel 146 matrix W should satisfy that  $w_{ij} \ge 0$  when the samples  $\mathbf{x}_i$  and 147  $\mathbf{x}_{i}$  are from the same person, and  $w_{ij} \leq 0$  otherwise. Besides 148 this, two additional properties are also beneficial. One is that 149 the kernel matrix W should be symmetric (i.e.,  $w_{ij} = w_{ji}$ ), 150 which means that the dependence/similarity between  $x_i$  and  $x_j$ 151 is undirected. The other one is that the matrix W should satisfy 152  $\forall i, \sum_{j=1}^{n} w_{ij} = 0$ , which is to balance the contributions of same 153 person pairs and different person pairs because the number of 154 different person pairs is in general much larger than the same 155 ones. Based on these properties, we simply define the matrix W 156 as follows: 157

$$w_{ij} = \begin{cases} 1/n_k, & (\mathbf{x}_i, \mathbf{x}_j) \in S\\ -1/(n - n_k), & (\mathbf{x}_i, \mathbf{x}_j) \in \mathcal{D} \end{cases}$$
(5)

where S means that  $\mathbf{x}_i$  and  $\mathbf{x}_j$  are from the same person, D otherwise.  $n_k$  denotes the number of samples from the *k*th person. Obviously, this definition satisfies the above three properties. Thus, we can exploit the discriminative term as

$$\max_{\mathbf{P}} \operatorname{tr}((\mathbf{P}\mathbf{X})^T \mathbf{P}\mathbf{X}\mathbf{H}\mathbf{W}\mathbf{H}) = \min_{\mathbf{P}} \operatorname{tr}(\mathbf{P}\mathbf{X}\mathbf{L}\mathbf{X}^T \mathbf{P}^T) \quad (6)$$

where the data X is centered (i.e., X = XH) and L = -W. 162 Putting every concern together, say (4) and (6), the proposed 163 dependence-aware feature coding (DAFC) model turns out to 164 be like 165

$$\min_{\mathbf{D},\mathbf{P}} ||\mathbf{X} - \mathbf{DPX}||_F^2 + \lambda \operatorname{tr}(\mathbf{PXLX}^T \mathbf{P}^T)$$
s.t.  $\forall i, ||\mathbf{d}_i||_2 \leq 1, ||\mathbf{p}^i||_2 \leq 1$ 
(7)

where  $\lambda$  is the tradeoff parameter. The constraints  $\{\forall i, ||\mathbf{d}_i||_2 \leq 166 1, ||\mathbf{p}^i||_2 \leq 1\}$  are to avoid the scale issue.

For the proposed model (7), it is generally not a jointly convex 169 optimization problem for  $\{D, P\}$ , but is convex with respect 170 to each variable.<sup>1</sup> Therefore, we adopt the alternative convex 171 search (ACS) [35] to address it. To make the objective function 172 easy to solve, we introduce an auxiliary variable **Z** to make 173 all the subproblems separable. In the sequel, the objective (7) 174 becomes the following optimization problem: 175

$$\min_{\mathbf{Z},\mathbf{D},\mathbf{P}} \quad ||\mathbf{X} - \mathbf{D}\mathbf{Z}||_F^2 + \tau ||\mathbf{P}\mathbf{X} - \mathbf{Z}||_F^2 + \lambda \operatorname{tr}(\mathbf{P}\mathbf{X}\mathbf{L}(\mathbf{P}\mathbf{X})^T)$$
s.t.  $\forall i, \; ||\mathbf{d}_i||_2 \leq 1, \; ||\mathbf{p}^i||_2 \leq 1$ (8)

<sup>1</sup>We will analyze this fact in the next section.

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Algorithm 1: DAFC.

Input: Centered training samples X ∈ ℝ<sup>d×n</sup>, D<sub>init</sub>, P<sub>init</sub>, kernel matrix W, dictionary size m, parameter λ.
 Output: Discriminative analysis dictionary P.
 while unreached the terminal condition do

Update **Z** via (9); Update **D** via (11);

Update  $\mathbf{P}$  via (11), Update  $\mathbf{P}$  via (12);

end

where  $\tau$  is a positive scalar constant. Hence, there are three variables, including **Z**, **D**, and **P**, to solve.

178 Z-subproblem: Taking derivative of the objective with re-179 spect to  $\mathbf{Z}$  and setting it to zero reads

$$\mathbf{Z} = \operatorname{argmin}_{\mathbf{Z}} ||\mathbf{X} - \mathbf{D}\mathbf{Z}||_{F}^{2} + \tau ||\mathbf{P}\mathbf{X} - \mathbf{Z}||_{F}^{2}$$
  
=  $(\mathbf{D}^{T}\mathbf{D} + \tau \mathbf{I})^{-1}(\tau \mathbf{P}\mathbf{X} + \mathbf{D}^{T}\mathbf{X}).$  (9)

180 D-subproblem: By discarding the unrelated terms to D

$$\min_{\mathbf{D}} ||\mathbf{X} - \mathbf{D}\mathbf{Z}||_F^2 \quad \text{s.t.} \quad \forall i, \quad ||\mathbf{d}_i||_2 \leq 1.$$
(10)

By Alternating Direction Method of Multipliers (ADMM) algorithm [28], [36], the optimal solution is

$$\begin{cases} \mathbf{D}^{(r+1)} = \operatorname{argmin}_{\mathbf{D}} ||\mathbf{X} - \mathbf{DZ}||_{F}^{2} + \rho ||\mathbf{D} - \mathbf{S}^{(r)} + \mathbf{T}^{(r)}||_{F}^{2} \\ = (\mathbf{X}\mathbf{Z}^{T} + \rho(\mathbf{S}^{(r)} - \mathbf{T}^{(r)}))(\mathbf{Z}\mathbf{Z}^{T} + \rho\mathbf{I})^{-1} \\ \mathbf{S}^{(r+1)} = \operatorname{argmin}_{\mathbf{S}} \rho ||\mathbf{D}^{(r+1)} - \mathbf{S} + \mathbf{T}^{(r)}||_{F}^{2}, \text{ s.t. } ||\mathbf{s}_{i}||_{2}^{2} \le 1 \\ \mathbf{T}^{(r+1)} = \mathbf{T}^{(r)} + \mathbf{D}^{(r+1)} - \mathbf{S}^{(r+1)} \end{cases}$$
(11)

183 where  $\rho$  is a penalty scalar and is updated if appropriate. 184 **P**-subproblem: Fixing the other variables gives

$$\min_{\mathbf{P}} \tau ||\mathbf{P}\mathbf{X} - \mathbf{Z}||_F^2 + \lambda \operatorname{tr}(\mathbf{P}\mathbf{X}\mathbf{L}\mathbf{X}^T\mathbf{P}^T)$$
  
s.t.  $\forall i, \ ||\mathbf{p}^i||_2 \le 1.$  (12)

Similarly, this optimization can also be solved by ADMM.The entire algorithm of DAFC is summarized in

Algorithm 1, which terminates when the relative change of objective value between two neighboring iterations is sufficiently small ( $|\frac{f(t+1)-f(t)}{f(t)}| \le 10^{-3}$ ) or the maximal iterative number (T = 100) is reached. For the initializations, similar to [32], we use k-means to initialize the synthesis dictionary  $\mathbf{D}_{init}$ . The analysis dictionary  $\mathbf{P}_{init}$  is directly assigned as  $\mathbf{D}_{init}^T$ .

# V. THEORETICAL ANALYSIS

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According to the ACS algorithm [35], each subproblem of DAFC need to be convex. It is easy to verify that the subproblems of **Z** and **D** are convex. For the subproblem of **P**, i.e., (12), obviously, its constraints  $\{\forall i, ||\mathbf{p}^i||_2 \leq 1\}$  are convex and its objective function is

$$f(\mathbf{P}) = \tau ||\mathbf{P}\mathbf{X} - \mathbf{Z}||_F^2 + \lambda \operatorname{tr}(\mathbf{P}\mathbf{X}\mathbf{L}\mathbf{X}^T\mathbf{P}^T).$$
(13)

The term tr( $\mathbf{PXLX}^T \mathbf{P}^T$ ) is generally nonconvex and unstable due to the nonpositive similarity values involved. This leads to another question: Is the holistic function  $f(\mathbf{P})$  convex? Before answering it, we would like to prove a theorem. Lemma 1 (Gerschgorin theorem [37]): Let  $\mathbf{A} = [a_{ij}]$  be 203 an arbitrary  $n \times n$  complex matrix, and let  $R_i = 204$  $\sum_{j=1; j \neq i}^{n} |a_{ij}|, 1 \leq i \leq n$ , where  $R_i := 0$  if n = 1. If  $\lambda$  is 205 an eigenvalue of  $\mathbf{A}$ , then there is a positive integer r, with 206  $1 \leq r \leq n$ , such that 207

$$|\lambda - a_{rr}| \le R_r \tag{14}$$

Hence, all eigenvalues  $\lambda$  of **A** lie in the union of the disks.

We refer readers to the work [37] for the detailed proof of 209 Lemma 1. With Lemma 1, we can prove the following theorem. 210

*Theorem 1:* For a matrix  $\mathbf{B} = \mathbf{A} + \alpha \mathbf{I} \in \mathbb{R}^{n \times n}$ , where  $\mathbf{A} = 211$  $[a_{ij}]$  is an arbitrary  $n \times n$  complex matrix and  $\alpha$  is a nonnegative value. B is semipositive definite when the parameter  $\alpha$  satisfies 213 the following constraint: 214

$$\alpha \ge \max_{1 \le i \le n} \left( \sum_{j=1; j \ne i}^{n} |a_{ij}| - a_{ii} \right).$$

$$(15)$$

*Proof:* To make the matrix **B** to be semipositive definite, 215 according to the work [38], it means that the minimal eigenvalue 216 of **B** needs to be nonnegative. Fortunately, based on the Lemma 217 1, we know that all the eigenvalues  $\eta$  of **B** lie in  $|\eta - a_{ii} - \alpha| \leq$ 218  $\sum_{j=1: j \neq i}^{n} |a_{ij}|, 1 \le i \le n$ . To make the minimal eigenvalue 219  $\eta_{\min}$  to always be nonnegative, after some transformations, it is 220 easy to verify that the value of  $\alpha$  has to satisfy the constraint 221 (15).222

To answer the above question, we know that the convexity 223 of  $f(\mathbf{P})$  depends on whether its Hessian matrix  $\nabla^2 f(\mathbf{P})$  is 224 semipositive definite or not [38]. Fortunately, the Hessian matrix 225  $\nabla^2 f(\mathbf{P})$  can be easily computed as follows: 226

$$\nabla^2 f(\mathbf{P}) = \lambda \mathbf{X} \mathbf{L} \mathbf{X}^T + \tau \mathbf{X} \mathbf{X}^T.$$
(16)

Let  $\mathbf{C} = \mathbf{L} + \tau \mathbf{I}/\lambda$ . To guarantee the Hessian matrix 227  $\nabla^2 f(\mathbf{P}) = \lambda \mathbf{X} \mathbf{C} \mathbf{X}^T$  to be semipositive definite, **C** should 228 be semipositive definite. Thus, we can obtain  $\tau/\lambda \ge 229$   $\max_{1 \le i \le n} \left( \sum_{j=1; j \ne i}^n |l_{ij}| - l_{ii} \right)$ . More concretely, according to 230 the replacement  $\mathbf{L} = -\mathbf{W}$  and the definition of **W** [i.e., (5)], 231 we know that the lower bound of  $\tau/\lambda$  is 2. Thus, we set  $\tau = 2\lambda$  232 in all the experiments. 233

In this way, we know that each subproblem of our DAFC is 234 convex. By fixing Z, the variables D and P are separable, and 235 they can be termed as a single variable. Thus, the optimization 236 problem in (8) is a biconvex problem of  $\min_{\mathbf{Z}} \{ f(\mathbf{Z}, (\mathbf{D}_t, \mathbf{P}_t)) \}$ 237 and  $\min_{(\mathbf{D},\mathbf{P})} \{ f(\mathbf{Z}_t, (\mathbf{D},\mathbf{P})) \}$ . In the training, we alternatively 238 solve the two convex optimization problems, and the whole 239 function  $f(\mathbf{Z}, (\mathbf{D}, \mathbf{P}))$  generally has a lower bound. Therefore, 240 according to the ACS algorithm [35], we know that the proposed 241 DAFC algorithm is guaranteed to converge monotonically in 242 terms of objective value. 243

### VI. EXPERIMENTS 244

We strictly follow all the experimental settings as the work [32], including the adopted datasets, the data processing method, and the evaluation criteria. 247

*Dataset description:* In this section, we apply the proposed 248 DAFC algorithm on the person re-identification task. Two publicly available VIPeR and PRID450S datasets are adopted in 250 this letter. VIPeR dataset is composed of 632 persons and 251 each person has two images captured in outdoor environments. 252 It mainly suffers from arbitrary viewpoints and illumination 253

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TABLE I TOP-RANKED MATCHING RATES ON VIPER DATASET, COMPARED WITH DIFFERENT TYPICAL CODING METHODS. BEST IN BOLD

	Rank	1	5	10	20
Unsupervised	SAC [21]	0.393	0.695	0.811	0.901
	LLC(5) [22]	0.128	0.325	0.456	0.609
	LLC(120) [22]	0.393	0.696	0.813	0.902
	SC(5) [23]	0.116	0.313	0.453	0.615
	SC(120) [23]	0.395	0.700	0.816	0.904
Supervised	CPDL [33]	0.360	0.642	0.755	0.843
	KSDL [25]	0.392	0.684	0.807	0.898
	DPL [28]	0.394	0.698	0.812	0.902
	DADL [31]	0.404	0.703	0.825	0.901
	MEDVL [32]	0.411	0.717	0.832	0.917
Our	DAFC	0.449	0.743	0.841	0.914

variations between two disjoint cameras. PRID450S dataset is
another challenging dataset that is captured with different view
changes, background interference, and occlusion variations and
consists of 450 person pairs.

*Raw features:* We employ the image-level features provided by the work [32] as the inputs. Moreover, as suggested by the work [32], the dimensions of the image-level features of both two datasets are reduced to 70 by Principal Components Analysis (PCA).

263 Settings: Following the standard protocol in [32], with the 264 learned analysis dictionary **P**, we can directly obtain the coding features as **PX**. Then, the metric learning method KISSME [13] 265 is employed to guide the final person pairs matching. For both 266 datasets, half person pairs are randomly selected as the training 267 set and the remaining as testing. For the evaluation criterion, the 268 average of Rank-k recognition rates over ten independent runs 269 are reported. 270

## 271 A. Comparison With Different Coding Methods

272 To validate whether the high-level features learned by our 273 coding methods are more discriminative than other alternatives or not, we employ three unsupervised coding methods including 274 SAC [21], LLC [22], and SC [23] to encode the input image-275 level features. For these methods, the k-means technique is used 276 to construct the dictionary. Moreover, four supervised dictionary 277 learning methods including Kernelized Supervised Dictionary 278 Learning (KSDL) [25], Dictionary Pair Learning (DPL) [28], 279 Discriminative Analysis Dictionary Learning (DADL) [31], 280 and Metric Embedding Discriminative Vocabulary Learning 281 (MEDVL) [32] and one supervised Cross-view Pair Dictionary 282 Learning (CPDL) method [33] are<sup>2</sup> are also compared to 283 show the advantages of our method. To all the compared 284 285 methods, their source codes can be downloaded from the github 286 or from authors' webpages, and the parameters are tuned 287 according to their suggestions. From Tables I and II, we can observe that all unsupervised coding methods including SAC, 288 LLC(120)<sup>3</sup> and SC(120) perform relatively promising. Among 289 them, the SC(120) seems to be the best. This is consistent 290 with the intuition that the saliency of person images is important 291 292 in the person re-identification task. Additionally, it notes that 293 on both datasets, LLC(5) and SC(5) perform poorly; this means

 $^{3}(5)$  and (120) are the corresponding dictionary sizes.

TABLE II TOP-RANKED MATCHING RATES ON PRID450S DATASET, COMPARED WITH DIFFERENT TYPICAL CODING METHODS. BEST IN BOLD

	Rank	1	5	10	20
Unsupervised	SAC [21]	0.434	0.704	0.805	0.890
	LLC(5) [22]	0.094	0.278	0.406	0.569
	LLC(120) [22]	0.433	0.706	0.805	0.891
	SC(5) [23]	0.085	0.265	0.397	0.560
	SC(120) [23]	0.440	0.713	0.814	0.898
Supervised	CPDL [33]	0.380	0.670	0.765	0.869
	KSDL [25]	0.421	0.698	0.798	0.882
	DPL [28]	0.429	0.704	0.802	0.889
	DADL [31]	0.443	0.721	0.816	0.907
	MEDVL [32]	0.459	0.730	0.829	0.911
Our	DAFC	0.465	0.744	0.847	0.915

that when encoding the image-level features into the high-level 294 semantic ones, the locality constraint may harm the perfor-295 mance of person re-identification. Compared the supervised 296 method MEDVL with these unsupervised competitors, it can be 297 observed that MEDVL generally performs better, due to the in-298 volved weak pairwise labels. For the compared method DADL, 299 its performance is promising but lower than the alternative 300 MEDVL. For the method CPDL, it only uses the positive person 301 pairs as the discriminative regularization and its performance is 302 lower than MEDVL. For the competitor DPL, as it is designed 303 for the multiclass classification task, its performance in the 304 person re-identification task is not promising, and is comparable 305 with the unsupervised methods, but is lower than the method 306 MEDVL. For the compared method KSDL, it only uses the 307 pairs from the same person and ignores the different ones. 308 Its performance is also limited in the person re-identification 309 task. For the proposed method DAFC, it employs the HSIC as 310 the discriminative term. From the experimental results in the 311 tables, we can clearly see that our method outperforms all the 312 competitors in most of cases. We have achieved about 5.4% 313 Rank 1 improvement on VIPeR and 2.5% Rank 1 improvement 314 on PRID450S over the most promising unsupervised competitor 315 SC(120). In addition, compared with the supervised coding 316 method MEDVL, we can achieve about 3.8% Rank 1 improve-317 ment on VIPeR and 0.6% Rank 1 improvement on PRID450S. 318 Therefore, we can conclude that the employed HSIC is more 319 discriminative than the previous alternatives and is more suit-320 able for the person re-identification task. In all the experiments, 321 the parameters are chosen by ten-fold cross validation. The 322 best parameter  $\lambda$  on VIPeR and PRID450S datasets is 0.6 and 323 0.5, respectively. The running times of the proposed DAFC on 324 VIPeR and PRID450S datasets are 6.5 and 3.5 s, respectively. 325

#### VII. CONCLUSION 326

In this letter, we have proposed a novel dDFAC framework, 327 which employs the HSIC as a regularization to improve the 328 dictionary discriminability, and is applicable to the person re-329 identification task. Moreover, theoretical discussion and analy-330 sis on the convexity of the proposed constraint, as well as the 331 convergence of DAFC algorithm, are provided. Experimental 332 results on two benchmark datasets VIPeR and PRID450S have 333 shown the advantages of our method over the state-of-the-art 334 alternatives. 335

<sup>&</sup>lt;sup>2</sup>We only use the image-level part of CPDL [33] for fair comparison.

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